

DOCKET NO.: PHRM-0303(6225)



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:** Mark E. Gurney  
and Irene Abraham

**Serial No.:** 09/767,088

**Group No.:** Not yet assigned

**Filing Date:** January 22, 2001

**Examiner:** Not yet assigned

**For:** Transgenic Mouse Model of Human Neurodegenerative Disease

DATE OF DEPOSIT: May 1, 2001  
I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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TO BOX MISSING PARTS, ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, DC 20231.

*for [initials]*  
Robin S. Quartin  
REGISTRATION NO.: 45,028

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated **March 15, 2001**, a response to which is due **May 15, 2001**, enclosed herewith for filing  
is:

- The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. **EL567666576US** on **January 22, 2001**.
- An Associate Power of Attorney is also enclosed.
- Request for Corrected Filing Receipt.
- Applicant(s) has previously claimed small entity status under 37 CFR §1.27
- Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:

- a Small Business Concern
- a Nonprofit Organization
- A check in the amount of **\$130.00** is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of **\$@@@**.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
  - Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: *May 1, 2001*

*Ron. H.*

**Robin S. Quartin**  
Registration No. **45,028**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,088	01/22/2001	Mark E. Gurney	PHRM-0303

26657

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP  
 ATTENTION: SUZANNE E. MILLER ESQ.  
 ONE LIBERTY PLACE, 46TH FLOOR  
 PHILADELPHIA, PA 19103

## CONFIRMATION NO. 5568

## FORMALITIES LETTER



\*OC000000005865786\*

Date Mailed: 03/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

*T. Mull*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO.: PHRM-0303 (6225)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Gurney et al.**

Serial No.: **09/767,088**

Filed: **January 22, 2001**

Group Art Unit: **Not yet assigned**

Examiner: **Not yet assigned**

For:

**TRANSGENIC MOUSE MODEL OF HUMAN NEURODEGENERATIVE  
DISEASE**



I, Robin S. Quartin, Registration No. 45,028 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 1, 2001

  
Robin S. Quartin Reg. No. 45,028

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**COMMUNICATION**

This communication is in response to a Notice to File Missing Parts, wherein it was noted that the content of the computer readable form of the Sequence Listing, filed with the above-identified application, did not comply with the requirements of 37 C.F.R. §§1.822 and/or 1.823. Applicants note that a Notice to Comply did not accompany the printout of the Raw Sequence Listing Error Report. Nevertheless, Applicants provide herewith a substitute Sequence Listing for the above-referenced application. The numeric identifier <213> for SEQ ID NOS:3-9 and 12-15 now reads "Artificial Sequence" instead of "Artificial," in compliance with the requirements of 37 C.F.R. §1.823(b). A paper copy of the substitute Sequence Listing is attached hereto. Also provided is a

**DOCKET NO.: PHRM-0303 (6225)**  
**PATENT APPLICATION**

**SERIAL NO.: 09/767,088**  
**FILED: JANUARY 22, 2001**

diskette containing a computer readable form of the substitute Sequence Listing. The information recorded in the computer readable form is identical to the paper copy of the substitute Sequence Listing.

Respectfully submitted,



**Robin S. Quartin**  
Registration No. **45,028**

Date: *May 1, 2001*

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